

Appendix table
Workers' Compensation Division penalty statistics

| Penalty type | FY 2005 | | | | FY 2006 | | | | FY 2007 | | | | FY 2008 | | | |
|--|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|
| | Assessed | | Collected | | Assessed | | Collected | | Assessed | | Collected | | Assessed | | Collected | |
| | Total # | Dollar amount | Total # | Dollar amount | Total # | Dollar amount | Total # | Dollar amount | Total # | Dollar amount | Total # | Dollar amount | Total # | Dollar amount | Total # | Dollar amount |
| Late filing of 1st report (M.S. 176.231) | 665 | \$282,375 | 494 | \$200,556 | 674 | \$288,125 | 460 | \$193,542 | 502 | \$216,500 | 510 | \$215,996 | 796 | \$343,750 | 670 | \$284,104 |
| Late 1st payment (M.S. 176.221 & 176.225) | 1,238 | \$492,735 | 1,090 | \$387,846 | 1,104 | \$426,572 | 1,080 | \$367,475 | 1,012 | \$444,260 | 941 | \$345,778 | 985 | \$393,589 | 970 | \$348,555 |
| | | \$168,816 | | | | \$150,207 | | | | \$148,540 | | | | \$138,753 | | |
| Late denial (M.S. 176.221) | 427 | \$269,000 | 320 | \$167,986 | 461 | \$277,000 | 397 | \$203,668 | 342 | \$199,000 | 279 | \$145,141 | 298 | \$158,500 | 243 | \$113,985 |
| Prohibited practices (M.S. 176.194) | 46 | \$147,000 | 22 | \$59,860 | 62 | \$228,000 | 48 | \$113,086 | 86 | \$366,000 | 75 | \$253,211 | 36 | \$153,000 | 46 | \$134,299 |
| Rehabilitation provider discipline (M.S. 176.102) | 2 | \$3,400 | 2 | \$3,200 | 4 | \$2,350 | 5 | \$2,550 | 5 | \$3,150 | 4 | \$2,700 | 0 | \$0 | 1 | \$450 |
| Managed care organization discipline (M.S. 176.1351) | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 |
| Health care provider discipline (M.S. 176.103) | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 |
| Failure to insure (M.S. 176.181) | 131 | \$2,340,418 | 217 | \$393,113 | 153 | \$2,989,498 | 159 | \$492,075 | 144 | \$1,796,805 | 157 | \$472,168 | 295 | \$4,078,020 | 240 | \$769,097 |
| | | \$1,135,357 | | | | \$2,519,905 | | | | \$1,447,706 | | | | \$3,420,825 | | |
| Late filing of special fund assessment (M.S. 176.129 & 176.130) | 83 | \$229,423 | 95 | \$223,507 | 82 | \$257,441 | 73 | \$209,591 | 119 | \$160,387 | 103 | \$117,593 | 62 | \$90,711 | 57 | \$61,244 |
| Other penalties (M.S. 176.221, 176.225, 176.138, 176.231, 176.238, & 176.84) | 148 | \$61,086 | 107 | \$40,319 | 292 | \$157,266 | 187 | \$76,159 | 353 | \$193,362 | 328 | \$146,522 | 281 | \$116,100 | 241 | \$89,141 |
| | | \$44,721 | | | | \$71,350 | | | | \$37,248 | | | | \$48,831 | | |
| Totals | 2,740 | \$2,833,913 | 2,347 | \$1,476,387 | 2,832 | \$4,378,216 | 2,409 | \$1,658,146 | 2,563 | \$3,216,152 | 2,397 | \$1,699,109 | 2,753 | \$4,864,059 | 2,468 | \$1,800,875 |

The differences between the penalties assessed and collected is a result of: rescinded and settled penalties; timing delays; and data for penalties paid to employees not being collected by the department.

The assessed penalty amounts for late first payment and other penalties show the amount payable to the department first and the amount payable to the employee second.

The assessed penalty amounts for failure-to-insure penalties show the estimated amount first and the reduced amount second.