

Minnesota R3.1 EDI XML



The Minnesota Department of Labor and Industry will adopt the International Association of Industrial Accident Boards and Commissions (IAIABC) Release 3.1 XML format claims reporting standard Feb. 9, 2023.

Minnesota R3.1 published claims reporting

Visit the EDI webpage at dli.mn.gov/business/workers-compensation/work-comp-electronic-data-interchange-edi-and-efroi-web-portal

New R3.1 guide

- [Minnesota implementation guide \(R3.1.5 Pub. 4.1.2019 Rev. 6.24.2022\)](#)
- New: [Appendix A. MN Element Requirement Table \(R3.1.5 Pub. 4.1.2019 Rev. 6.24.2022\)](#)
- New: [Appendix B. MN Edit Matrix \(R3.1.5 Pub. 4.1.2019 Rev. 6.24.2022\)](#)
- New: [Appendix C. MN Event Table \(R3.1.5 Pub. 4.1.2019 Rev. 7.23.2020\)](#)
- Former: [Appendix D. EDI-Claims-Containing-Forms-Returned-by-MN-Guidelines \(Pub. 5.28.2020\)](#)
- Former: [Appendix E. MN-Documents-Sent-by-the-ClaimAdministrator-Guidelines \(Pub. 5.28.2020\)](#)

EDI learning objectives

1. Minnesota is adopting IAIABC claims R3.1 XML format Feb. 9, 2023.
2. New DN0438 – Collective Bargaining Agreement Code.
3. New DN0440 – Withheld Attorney Fees - Current.
4. Direct connect trading partners and EDI vendors will test the new XML format in November and December 2022.
5. Starting Feb. 9, 2023, annual claims for reimbursement will also require FROI and SROI update report (UR) filings making the claims up-to-date.

Minnesota is adopting IAIABC claims R3.1 XML format Feb. 9, 2023

The adoption of the new XML format includes minimal data changes from the current MN R3.1 reporting and is mostly represented by two new DNs.

1. DN0438 – Collective Bargaining Agreement Code
2. DN0440 – Withheld Attorney Fees – Current, and the associated DN0092 – Benefit Adjustment Code valid value 4 = withheld attorney fees

DN0438 – Collective Bargaining Agreement Code

The new DN is used to report Union Construction Workers' Compensation Program (UCWCP) claims and will replace a complicated Campus process that did not work.

- Previously, Campus required that a trading partner must report UCWCP claims by sending the value 06 in DN0207 and code 4 in DN0208 for Managed Care Organizations.
- Now trading partners will report UCWCP claims directly using the DN0438 – Collective Bargaining Agreement Code, where the Y = Yes code denotes a UCWCP claim.

DN0440 – Withheld Attorney Fees – Current

The new DN is used to report potential contingent attorney fees being withheld from the employee's benefits in the likelihood that the claim administrator will have to pay the employee's attorney.

- Previously, claims could have a net weekly amount not equal to the gross weekly amount which accounted for the withheld attorney fees.
- Now trading partners will report an adjustment code for withheld attorney fees and then put the adjusted amounts into the withheld attorney fees current. When the fees are paid out to the employee, the employee's attorney, or both, then the withheld attorney fees – current will return to zero dollars.

DN0440 – Withheld Attorney Fees – Current, a business scenario

Withholding Atty Fees from TTD benefits with MTC CA.		
DN	Data Element Name	Description
0440	Withheld Attorney Fees – Current	\$200.00
DN	Benefits	1 occurrence
0085	Benefit Type Code	Temporary Total
0002	Maintenance Type Code	Change in Amount
0174	Gross Weekly Amount	\$500.00
0175	Gross Weekly Amount Effective Date	6/15/12
0087	Net Weekly Amount	\$400.00
0211	Net Weekly Amount Effective Date	11/8/12
0088	Benefit Period Start Date	6/15/12
0089	Benefit Period Through Date	11/22/12
0090	Benefit Type Claim Weeks	11
0091	Benefit Type Claim Days	2
0086	Benefit Type Amount Paid	\$8,050.00
DN	Benefit ACRs	1 occurrence
0092	Benefit Adjustment Code	Withheld Attorney Fees
0094	Benefit Adjustment Start Date	11/8/12
0125	Benefit Adjustment End Date	Blank because adjustment is continuing
0093	Benefit Adjustment Weekly Amount	\$100.00

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Withholding Atty Fees from TTD benefits at the time of SX.		
DN	Data Element Name	Description
0440	Withheld Attorney Fees – Current	\$300.00
DN	Benefits	1 occurrence
0085	Benefit Type Code	Temporary Total
0002	Maintenance Type Code	SX
0174	Gross Weekly Amount	\$500.00
0175	Gross Weekly Amount Effective Date	6/15/12
0087	Net Weekly Amount	\$400.00
0211	Net Weekly Amount Effective Date	11/8/12
0088	Benefit Period Start Date	6/15/12
0089	Benefit Period Through Date	11/29/12
0090	Benefit Type Claim Weeks	12
0091	Benefit Type Claim Days	2
0086	Benefit Type Amount Paid	\$8,450.00
DN	Benefit ACRs	1 occurrence
0092	Benefit Adjustment Code	Withheld Attorney Fees
0094	Benefit Adjustment Start Date	11/8/12
0125	Benefit Adjustment End Date	11/29/12
0093	Benefit Adjustment Weekly Amount	\$100.00

DN0440 – Withheld Attorney Fees – Current, a business scenario

Withholding Atty Fees from TTD benefits that are the same as the SX showing last step before the PY.		
DN	Data Element Name	Description
0440	Withheld Attorney Fees – Current	\$300.00
DN	Benefits	1 occurrence
0085	Benefit Type Code	Temporary Total
0002	Maintenance Type Code	Full Suspension
0174	Gross Weekly Amount	\$500.00
0175	Gross Weekly Amount Effective Date	6/15/12
0087	Net Weekly Amount	\$400.00
0211	Net Weekly Amount Effective Date	11/8/12
0088	Benefit Period Start Date	6/15/12
0089	Benefit Period Through Date	11/29/12
0090	Benefit Type Claim Weeks	12
0091	Benefit Type Claim Days	2
0086	Benefit Type Amount Paid	\$8,450.00
DN	Benefit ACRs	1 occurrence
0092	Benefit Adjustment Code	Withheld Attorney Fees
0094	Benefit Adjustment Start Date	11/8/12
0125	Benefit Adjustment End Date	11/29/12
0093	Benefit Adjustment Weekly Amount	\$100.00

Withheld attorney fees from TTD paid back with MTC PY.		
DN	Data Element Name	Description
0440	Withheld Attorney Fees – Current	\$0.00
DN	Benefits	1 occurrence
0085	Benefit Type Code	Temporary Total Lump Sum
0002	Maintenance Type Code	Payment Report
0174	Gross Weekly Amount	NA (sweep)
0175	Gross Weekly Amount Effective Date	NA (sweep)
0087	Net Weekly Amount	NA (sweep)
0211	Net Weekly Amount Effective Date	NA (sweep)
0088	Benefit Period Start Date	6/15/12
0089	Benefit Period Through Date	11/29/12
0090	Benefit Type Claim Weeks	NA (sweep)
0091	Benefit Type Claim Days	NA (sweep)
0086	Benefit Type Amount Paid	\$300
DN	Payments	1 occurrence
0222	Payment Reason Code	550 – TTD Lump
0195	Payment Issue date	12/1/2012
0217	Payee	Employee Name
0218	Payment Amount	\$300.00
0219	Payment covers start date	6/15/12
0220	Payment covers thru date	11/29/12

DN0440 – Withheld Attorney Fees – Current, payment scenarios

When paying back withheld attorney fees to the employee or the employee's attorney, Campus accepts benefit type code (BTC) 500 – Unspecified Lump Sum, the 5xx benefit type code related to the benefit type the fees were withheld from, or even the original 0xx benefit type initially reported.

- Example 1: BTC 050 -TTD and 070 – TPD is withheld during claim. Then BTC 500 – unspecified lump sum is paid back for all BTCs.
- Example 2: BTC 050 -TTD is withheld during claim. Then BTC 550 – TTD lump sum is paid.
- Example 3: BTC 050 – TTD is withheld during claim. Then BTC 050 – TTD is paid.

Direct connect trading partners and EDI vendors will test the new XML format in November and December 2022

Claim administrators should be prepared to report the new mandatory conditional DNs and all senders should ensure they are prepared to transmit the new XML IAIABC format by Feb. 9, 2023.

Annual claims for reimbursement will require FROI and SROI update report (UR) filings

- Starting Feb. 9, 2023, annual claims for reimbursement will also require FROI and SROI update report (UR) filings making the claims up-to-date.
- The URs that update claims will make the processing of claim payments faster and accurate.

Thank You

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